

No: *09*.../2026/NQ-HĐQT

Hanoi, *October 8th*, 2026

RESOLUTION OF THE BOARD OF DIRECTORS
SMARTINVEST SECURITIES JOINT STOCK COMPANY

- Pursuant to the Law on Enterprises No.59/2020/QH14 dated June 17th, 2020 and guiding documents;
- Pursuant to the Charter of SmartInvest Securities Joint Stock Company ("Company Charter");
- Pursuant to the Meeting minutes of the Board of Directors No. *09*.../2026/BBH-HĐQT on *October 8th*, 2026.

RESOLVED THAT

Article 1. Approval of the plan for obtaining shareholders' written opinions in 2026 for the adoption of a Resolution of the General Meeting of Shareholders, with details as follows:

- **Record date:** *22/10/2026*.
- **Entitlement ratio:** 01 share – 01 voting right.
- **Deadline for shareholders to submit written opinion forms:** Expected date *23/11/2026*. Following the expiry of the above deadline, the Company shall conduct the vote counting and adopt the Resolution of the General Meeting of Shareholders
- **Matter submitted for shareholders' written opinions:** SmartInvest Securities Joint Stock Company shall register for the provision of securities transaction clearing and settlement services (*for the purpose of applying for the Certificate of Eligibility to Provide Securities Transaction Clearing and Settlement Services and the Clearing Membership Certificate under the Central Counterparty (CCP) mechanism*)

Article 2. Implementation

Ms. Ngo Thi Thuy Linh – Chairwoman of the Board of Directors – is hereby assigned to direct the relevant individuals and specialized departments/divisions to carry out all necessary tasks in connection with the solicitation of shareholders' written opinions in 2026 for the purpose of adopting a Resolution of the General Meeting of Shareholders, in accordance with applicable laws and the Company's Charter.

Article 3. Effectiveness

This resolution takes effect from the date of signing.



The members of the Board of Directors, the Executive Board, relevant departments, and individuals are responsible for implementing this Resolution./.

Recipients:

- As Article 4;
- Archives.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRWOMAN



NGÔ THỊ THÙY LINH

