

No: 10... /2026/NQ-HĐQT

Hanoi, October 23..., 2026

RESOLUTION OF THE BOARD OF DIRECTORS
SMARTINVEST SECURITIES JOINT STOCK COMPANY

- Pursuant to the Law on Enterprises No.59/2020/QH14 dated June 17th, 2020 and guiding documents;
- Pursuant to the Charter of SmartInvest Securities Joint Stock Company ("Company Charter");
- Pursuant to the Meeting minutes of the Board of Directors No. 10... /2026/BBH-HĐQT on October 23..., 2026.

RESOLVED THAT

Article 1. Approval of Documents for the 2026 solicitation of shareholders' written opinions, with details as follows:

1. Regulations on the Organization, Implementation and Vote Counting of the Written Consultation of Shareholders (as detailed in the attached document).
2. Shareholder Written Opinion Form (as detailed in the attached document).
3. Proxy Form (as detailed in the attached document).
4. Submission No. 12/2026/TTr-HĐQT regarding the provision of securities transaction clearing and settlement services (as detailed in the attached document).
5. Draft Resolution of the General Meeting of Shareholders approving the provision of securities transaction clearing and settlement services (as detailed in the attached document).

Article 2. Approve the establishment of the Vote Counting Committee for the 2026 written consultation of shareholders, with details as follows:

1. Ms. Ngo Thi Thuy Linh – Chairwoman of the Board of Directors – Head of the Vote Counting Committee.
2. Ms. Luu Huong Tra – Member of the Vote Counting Committee, concurrently responsible for preparing the Vote Counting Minutes.
3. Ms. Bui Thi Quynh Anh – Member of the Supervisory Board – Member responsible for supervising the vote counting results.

Article 3. Implementation

Ms. Ngo Thi Thuy Linh – Chairwoman of the Board of Directors – is hereby assigned to direct the relevant individuals and specialized departments/divisions to carry out all necessary tasks in connection with the solicitation of shareholders' written opinions in 2026 for the purpose of adopting a Resolution of the General Meeting of Shareholders, in accordance with applicable laws and the Company's Charter.



Article 4. Effectiveness

This resolution takes effect from the date of signing.

The members of the Board of Directors, the Executive Board, relevant departments, and individuals are responsible for implementing this Resolution./.

Recipients:

- As Article 4;
- Archives.

ON BEHALF OF THE BOARD OF DIRECTORS 

CHAIRWOMAN



NGÔ THỊ THÙY LINH

