

No: 07./2026/TB-HDQT

Hanoi, February 26, 2026

ANNOUNCEMENT

Re: Nomination of Candidates for the Additional Election of Members to the Board of Directors Member and the Board of Supervisors of Smart Invest Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 passed by the 14th National Assembly of the Socialist Republic of Vietnam on June 17, 2020;*
- *Law on Securities No. 54/2019/QH14, passed by the 14th National Assembly of the Socialist Republic of Vietnam on November 26, 2019;*
- *Pursuant to the Charter of Smart Invest Securities Joint Stock Company ("Company's Charter");*

The Board of Directors hereby notifies the shareholders regarding the additional election of members to the Board of Directors (BOD) and the Board of Supervisors (BOS) at the 2026 Annual General Meeting of Shareholders of Smart Invest Securities Joint Stock Company, as follows:

1. Expected Number of Additional Members to be elected

- Number of additional member of the BOD: 05 members.
- Number of additional members of the BOS: 01 member.

2. Conditions for Nomination and Candidacy for the BOD and the BOS

2.1 Conditions for Nomination and Candidacy for the BOD

- Shareholders holding voting shares have the right to combine their voting rights to nominate candidates for the BOD;
- A shareholder or group of shareholders holding more than 10% to less than 20% of voting shares is entitled to nominate 01 candidate;
- A shareholder or group of shareholders holding from 20% to less than 30% of voting shares is entitled to nominate up to 02 candidates;
- A shareholder or group of shareholders holding from 30% to less than 40% of voting shares is entitled to nominate up to 03 candidates;
- A shareholder or group of shareholders holding from 40% to less than 50% of voting shares is entitled to nominate up to 04 candidates;
- A shareholder or group of shareholders holding more than 50% to less than 60% of voting shares is entitled to nominate up to 05 candidates;



- In the event that the number of candidates and through nomination and candidacy is still insufficient, the incumbent BOD may nominate additional candidates to meet the required number.

2.2. Conditions for Nomination and Candidacy for the BOS

- Shareholders holding voting shares have the right to combine their voting rights to nominate candidates for the BOS;
- A shareholder or group of shareholders holding more than 10% to less than 20% of voting shares is entitled to nominate 01 candidate;
- A shareholder or group of shareholders holding from 20% to less than 30% of voting shares is entitled to nominate up to 02 candidates;
- A shareholder or group of shareholders holding from 30% to less than 40% of voting shares is entitled to nominate up to 03 candidates;
- A shareholder or group of shareholders holding from 40% to less than 50% of voting shares is entitled to nominate up to 04 candidates;
- A shareholder or group of shareholders holding more than 50% to less than 60% of voting shares is entitled to nominate up to 05 candidates;
- In the event that the number of candidates and through nomination and candidacy is still insufficient, the incumbent BOS may nominate additional candidates to meet the required number.

3. Criteria and Conditions for Membership in the BOD and the BOS

3.1 Criteria and Conditions for BOD Membership

- Must have full civil act capacity and not fall under any category prohibited from enterprise management as stipulated in Clause 2, Article 17 of the Law on Enterprises.
- Must have professional qualifications and experience in business management; not necessarily a shareholder of the Company.
- Must not concurrently hold the position of a Board member in more than five (05) other companies.
- Must satisfy other criteria and conditions as prescribed by law.

3.2. Criteria and Conditions for BOS Membership

- Being 21 years of age or older, having full civil act capacity, and not falling under any category prohibited from managing an enterprise as stipulated in Clause 2, Article 17 of the Law on Enterprises;
- Having professional ethics;
- Holding a university degree or higher.
- Not working in the accounting or finance department of the Company;

38
:ÔN
:ÔP
JNG
ARTI
ĐA

- Not being a member or employee of the independent auditing firm that has conducted the audit of the Company's financial statements during the three (03) consecutive years immediately preceding the time of nomination or election
- Must satisfy other criteria and conditions as prescribed by law.

3. Application for Candidacy and Nomination to the Board of Directors and the Board of Supervisors

- Application for candidacy or nomination to the Board of Directors, and the Board of Supervisors (as per the template);
- Personal resume self-declared by the candidate (as per the template);
- Copy of ID card, passport for overseas Vietnamese and foreigners, and copies of degrees, diplomas, and certificates proving educational and professional qualifications.

Nomination and candidacy applications should be submitted to **Smart Invest Securities Joint Stock Company** no later than **16:00 on March 16, 2026**, at the following address:

SMART INVEST SECURITIES JOINT STOCK COMPANY

Address: 222 Nguyen Luong Bang Street, Dong Da Ward, Ha Noi City

Phone: (84-24) 3573 9769

Fax: (84-24) 3573 9779

The Organizing Committee will compile a list of eligible candidates for official election at the 2026 Annual General Meeting of Shareholders.

Yours Sincerely,

ON BEHALF OF THE
BOARD OF DIRECTORS
CHAIRWOMAN



NGO THI THUY LINH