

No. /2026/AAS/NQ-ĐHĐCĐ

Hanoi, March, 2026

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RESOLUTION
ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2026
SMART INVEST SECURITIES JOINT STOCK COMPANY

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 passed by the 14th National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Law on Securities No. 54/2019/QH14, passed by the 14th National Assembly of the Socialist Republic of Vietnam on November 26, 2019;
- Charter of Smart Invest Securities Joint Stock Company ("Company's Charter");
- The Meeting Minutes No. /2026/AAS/BB-ĐHĐCĐ dated March, 2026 of the annual general meeting of shareholders of Smart Invest Securities Joint Stock Company.

The General Meeting of Shareholders has unanimously resolved to adopt this Resolution with the following key contents:

RESOLVED THAT

Article 1: Approval of Report on operation of the Board of Directors in 2025

Article 2: Approval of Report on operation of the Board of Supervisors in 2025

Article 3: Approval of Report assessment by Independent member of the Board of Directors for 2025

Article 4: Approval of Submission No. 01/2026/TTr- HDQT regarding the approval of the 2025 audited financial statements

General Meeting unanimously approved the 2025 audited financial statements audited by International Auditing and Valuation Company Limited.

Article 5: Approval of Submission No. 02/2026/TTr-HĐQT regarding the approval of the 2026 business plan, as follows:

No.	Indicators	2025 (VND)	2026 Target (VND)	2026 Target/2025 Actual Results
1	Charter Capital	2,299,995,210,000	4,944,989,700,000	215.00%
2	Operating Revenue	541,229,265,634	600,000,000,000	110.86%
3	Earning Before Tax	207,262,877,007	215,000,000,000	103.73%
4	Earning After Tax	158,129,230,955	172,000,000,000	108.77%
5	Dividend	15%	8%	

Article 6: Approval of Submission No. 03/2026/TTr-BKS regarding the selection of independent auditing company in 2026

1. Approval of the selection of independent auditing company for 2026 financial statements from the following list:
 - International Auditing and Valuation Company Limited;
 - An Viet Auditing Company Limited;
 - UHY Auditing and Consulting Company Limited;
 - AASC Auditing Firm Company Limited.
2. In case the aforementioned auditing companies are deemed unsuitable, the Board of Supervisors respectfully requests the General Meeting of Shareholders to authorize the Board of Directors to select another auditing firm from the list of companies approved by the State Securities Commission (SSC) for 2026, concerning Smart Invest Securities Joint Stock Company.

Article 7: Approval of Submission No. 04/2026/TTr-HĐQT regarding the profit distribution in 2025

General Meeting unanimously approved the profit distribution in 2025, as follows:

No.	Contents	Value (VND)
I	Retained earnings from previous years	346,561,416,430
1	Retained earnings from previous years	188,816,185,475
2	Appropriation of Remuneration for the Board of Directors and the Board of Supervisors for 2023	(384,000,000)
3	2025	158,129,230,955
II	Profit Distribution Plan	345,383,281,500
1	Appropriation of Remuneration for the Board of Directors and the Board of Supervisors for 2025	384,000,000
2	Stock dividend for 2023 - 2025 (15% of charter capital)	344,999,281,500
III	Estimated Retained Earnings	1,178,134,930

Article 8: Approval of Submission No. 05/2026/TTr-HĐQT regarding the remuneration in 2025 and proposed plan in 2026 for the Board of Directors and Board of Supervisors

General Meeting unanimously approved the remuneration in 2025 and proposed plan in 2026 for the Board of Directors and Board of Supervisors, as follows:

1. Remuneration in 2025:

The Company has paid remuneration to the Board of Directors and the Board of Supervisors members as follows:

- Chairperson – Vice Chairperson of the Board: VND 120,000,000 /person/year;
- Executive member of the Board of Directors: VND 36,000,000 /person/year;
- Independent Member of the Board of Directors: VND 24,000,000 /person/year;
- Head of the Board of Supervisors: VND 24,000,000 /person/year;
- Member of the Board of Supervisors: VND 12,000,000 /person/year.

2. Remuneration plan for 2026:

The Company plans to pay remuneration to the Board of Directors and the Board of Supervisors members as follows:

- Chairperson – Vice Chairperson of the Board: VND 120,000,000 /person/year;
- Executive member of the Board of Directors: VND 36,000,000 /person/year;
- Independent Member of the Board of Directors: VND 24,000,000 /person/year;
- Head of the Board of Supervisors: VND 24,000,000 /person/year;
- Member of the Board of Supervisors: VND 12,000,000 /person/year.

Article 9: Approval of Submission No. 06/2026/TTr-HĐQT regarding the dismissal and Additional Election Member of the Board of Directors and the Board of Supervisors

General Meeting unanimously approved the dismissal and additional election of members of the Board of Directors and the Board of Supervisory for the 2026–2031 term. The detailed content of the Submission is attached to this Resolution.

Article 10: Approval of Submission No. 07/2026/TTr-HĐQT regarding the issuance of shares for dividend payment for fiscal years 2023 – 2025

The General Meeting approved the cancellation of the 2023 dividend share issuance plan previously approved under Resolution No. 01/2024/AAS/NQ-ĐHĐCĐ dated March 25, 2024 and approved the new share issuance plan for dividend payment for fiscal years 2023–2025.

The detailed content of the Submission is attached to this Resolution.

Article 11: Approval of Submission No. 08/2026/TTr-HĐQT regarding the public offering of shares

The General Meeting approved the cancellation of the previous public offering plan to existing shareholders by rights issue approved under Resolution No. 01/2024/AAS/NQ-ĐHĐCĐ dated March 25, 2024 and approves the new public offering plan. The detailed content of the Submission is attached to this Resolution.

Article 12: Approval of Submission No. 09/2026/TTr-HĐQT regarding the amendment of the Company’s Charter and internal regulations.

The detailed content of the Submission is attached to this Resolution.

Article 13: Approval of Submission No. 10/2026/TTr-HĐQT regarding the matters to be reported to the GMS

The detailed content of the Submission is attached to this Resolution.

Article 14: Approval the additional election of members of the Board of Directors and the Supervisory Board for the 2026–2031 term, as follows:

1. Members of the Board of Directors

No.	Full name	Qualification
1	Ms. Ngo Thi Thuy Linh	Master
2	Mr. Tran Minh Tuan	PhD
3	Mr. Le Manh Cuong	Bachelor
4	Mr. Pham Minh Duc	Master

5	Mr. Le Quang Chung	Bachelor
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2. Members of the Board of Supervisors

No.	Full name	Qualification
1	Ms. Trieu Tu Linh	Bachelor

Article 15: Effectiveness

This Resolution shall take effect on the issued date. The Board of Directors, Executive Board, and relevant departments are responsible for implementing this Resolution.

Recipients:

- *Shareholders, Company Website;*
- *Members of the BOD, Executive Board, and BOS;*
- *HR/Admin.*

**ON BEHALF OF
THE GENERAL MEETING OF SHAREHOLDERS
CHAIRWOMAN OF THE BOARD OF DIRECTORS**

Ngo Thi Thuy Linh