

No. 30A/2026/BC-AAS

Hanoi, January 29th, 2026

**REPORT ON CORPORATE GOVERNANCE  
(2025)**

To: - The State Securities Commission;  
- Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Hochiminh Stock Exchange

- Name of company: SMARTINVEST SECURITIES JOINT STOCK COMPANY
- Address of headoffice: No 220+222+224 Nguyen Luong Bang street, Quang Trung ward, Dong Da district, Hanoi city, Viet Nam.
- Telephone: 0243.5739769      Fax: 0243.5739779      Email: [smartscbtt@aas.com.vn](mailto:smartscbtt@aas.com.vn)
- Charter capital: 2.299.995.210.000 VND
- Stock symbol: AAS
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director (According to section 137(1)(a) of Enterprises Law 2020)
- The implementation of internal audit: Implemented.

**I. Activities of the General Meeting of Shareholders**

Information on meetings, resolutions and decisions of the General Meeting of Shareholders:

No.	Resolution/Decision No.	Date	Content
1	01/2025/AAS/NQ-ĐHĐCĐ	24/03/2025	GMS Resolution 2025: Detailed content in Appendix 03 attached

**II. Board of Directors (Annual Report):**

**1. Information about the members of the Board of Directors:**

No.	Board of Directors' members	Position	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Ms. Ngo Thi Thuy Linh	Chairwoman	23/04/2021	

2	Mr. Tran Minh Tuan	Non-executive member of the BOD	27/09/2021	
3	Mr. Le Manh Cuong	Member of the BOD	23/04/2021	
4	Ms. Do Thi Sam	Member of the BOD	27/09/2021	
5	Mr. Pham Minh Duc	Independent member of the Board of Directors	27/09/2021	

## 2. Meetings of the Board of Directors:

No.	Board of Director's member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Ms. Ngo Thi Thuy Linh	10/11	90,91%	Ms. Ngo Thi Thuy Linh was absent due to personal matters
2	Mr. Tran Minh Tuan	10/11	90,91%	Mr. Tran Minh Tuan was absent due to personal matters
3	Mr. Le Manh Cuong	11/11	100%	
4	Ms. Do Thi Sam	11/11	100%	
5	Mr. Pham Minh Duc	11/11	100%	

## 3. Supervising the Board of Management by the Board of Directors:

In 2025, the Board of Directors held many regular quarterly and extraordinary meetings in various ways to make decisions related to the organization and business operations of the Company. Accordingly, the Board of Directors issued Resolutions/Decisions on various issues in organization and operation.

The tasks performed by the Board of Directors include:

- Supervise the operations of the Executive Board, direct and urge the Executive Board to implement the Resolutions of the General Meeting of Shareholders and the Board of Directors; meet and discuss with the Executive Board to ensure proper implementation of the Charter, Regulations and relevant laws;

- The Board of Directors chaired, directed and successfully organized 2024 Annual General Meeting of Shareholders on March 24<sup>th</sup>, 2025.
- Direct the Executive Board to properly implement the Resolutions of the General Meeting of Shareholders and the Board of Directors issued related to the Company's business operations.
- Direct and implement the preparation, supervision and publication of financial reports and other public information on time, ensuring transparency, timeliness and compliance with law regulations;
- Adhere to the framework of modern Corporate Governance, members of the Board of Directors demonstrate their governance role well in supervising and controlling the Company's operations. Through monitoring and evaluating compliance, the Board of Directors finds that the Executive Board has exercised its assigned rights and duties in accordance with the provisions of law, the Charter, decisions/resolutions of the Board of Directors and the General Meeting of Shareholders with honesty, prudence and efficiency to ensure the interests of shareholders.

#### **4. Activities of the Board of Directors' subcommittees:**

##### **Investment Council**

Advise the Board of Directors on issues related to the Company's investment activities: asset allocation, capital trading of the Company; review and approve capital contributions or purchase and sale of capital contributions in enterprises, purchase and sale of securities, activities related to underwriting under the authority of the Board of Directors as prescribed in the Charter and authorized by the General Meeting of Shareholders; decide and approve transactions and investment activities not under the authority of the General Meeting of Shareholders or the Board of Directors but not yet delegated to the Chairwoman, General Director or Board of Directors; perform other tasks as prescribed in the Charter or other internal documents.

#### **5. Resolutions/Decisions of the Board of Directors (Annual Report):**

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2025/NQ-HĐQT	07/01/2025	Approval of the plan to organize the 2025 Annual General Meeting of Shareholders	100%
2	02/2025/NQ-HĐQT	07/01/2025	Approval of the change of address of the Nam Dinh Representative Office	100%
3	03/2025/NQ-HĐQT	25/02/2025	Approval of the redemption of bonds before maturity code AASH2227001	100%

4	04/2025/QĐ-HĐQT	14/03/2025	Issuance of the working regulations for the 2025 Annual General Meeting of Shareholders	100%
5	05/2025/NQ-HĐQT	03/04/2025	Approval of the disposal of fixed assets and the establishment of the Fixed Asset Disposal Committee	100%
6	05A/2025/NQ-HĐQT	07/05/2025	Approval of borrowing to support business operations and utilizing services at Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank) – Trang An Branch	100%
7	06/2025/NQ-HĐQT	11/06/2025	Approval of the proposed credit facility at Wooribank Vietnam – Lotte Mall Branch	100%
8	07/2025/NQ-HĐQT	29/8/2025	Approval of the change of address for the Ho Chi Minh City Branch – SmartInvest Securities Joint Stock Company	100%
9	08/2025/NQ-HĐQT	1/10/2025	Approval of borrowing funds from Vietnam Modern Bank Limited Liability Company – Hanoi Branch (MBV) to implement the business plan	100%
10	08A/2025/NQ-HĐQT	11/11/2025	Approval of the termination of operations of the Thai Nguyen Representative Office of SmartInvest Securities Joint Stock Company	100%
11	08/2025/NQ-HĐQT	11/11/2025	Approval of the termination of operations of the Nam Dinh Representative Office of SmartInvest Securities Joint Stock Company.	100%

### **III. Board of Supervisors (Annual Report):**

#### **1. Information about members of Board of Supervisors**

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Ms. Nguyen Thi Hong Trung	Head of Board of Supervisors	12/06/2023	Master's degree

2	Ms Bui Thi Quynh Anh	Member	12/06/2023	Bachelor
3	Ms Dao Thi Le Thanh	Member	12/06/2023	Bachelor

## 2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Ms. Nguyen Thi Hong Trung	01	100%	100%	
2	Ms Bui Thi Quynh Anh	01	100%	100%	
3	Ms Dao Thi Le Thanh	01	100%	100%	

## 3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors

- Check and monitor the implementation of business plans as well as compliance with the provisions of the Enterprise Law, the Company Charter and other Laws (if any).
- Through the monitoring process, the Board of Supervisors found that the activities of the Board of Directors and the Board of General Directors of the Company have complied with the provisions of law, the Resolution of the General Meeting of Shareholders and the Company's Charter, and at the same time complied with the functions and tasks as prescribed.

## 4. The coordination among the Board of Supervisors, the Board of Management, Board of Directors and other managers:

- In first half of 2025, the Board of Supervisors will always closely coordinate with the Board of Directors and the Executive Board of the Company.
- The Board of Directors and the Executive Board regularly create favorable conditions for the Board of Supervisors in collecting information as well as monitoring the Company's production and business activities.

## 5. Other activities of the Board of Supervisors: None.

## IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/
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				dismissal of members of the Board of Management/
1	Mr. Le Manh Cuong	27/06/1978	Construction economics engineer	Appointed: 29/09/2021
2	Ms. Do Thi Sam	04/11/1984	Bachelor of Economics	Appointed: 01/07/2021
3	Mr. Le Quang Chung	04/01/1985	Bachelor of Business Administration	Appointed: 22/02/2022
4	Mr. Dao Phuong Vi	11/10/1984	Information technology engineer	Appointed: 01/02/2024

## V. Chief Accountant

<i>Name</i>	<i>Date of birth</i>	<i>Qualification</i>	<i>Date of appointment/dismissal</i>
Ms. Le Thi Van Anh	10/06/1985	Master	Appointed: 01/07/2021

## VI. Training courses on corporate governance:

With a policy of focusing on corporate governance activities to build and develop the Company more and more strongly, members of the Board of Directors, members of the Executive Board and other managers of the Company are encouraged to participate in training programs on corporate governance. Currently, the above members are actively researching and learning about corporate governance. It is expected that in 2025, some members of the Board of Directors and Executive Board will apply for a certificate of training on corporate governance organized by the Center for Scientific Research and Securities Training - State Securities Commission.

## VII. The list of affiliated persons of the public company (Annual Report) and transactions of affiliated persons of the Company

- The list of affiliated persons of the Company:** According to attached Appendix 01.
- Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:** The company's transactions with related parties of insiders are explained in section 4.1.

**3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power.**

No.	Transaction executor	Relationship with internal persons	Position at the listed Company	ID card No./ Passport No., date of issue, place of issue	Address	Name of subsidiaries or companies which the Company control	Time of transaction	Content, quantity, total value of transaction	Note
	None								

**4. Transactions between the Company and other objects**

**4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting).**

- Smartinvest Securities Joint Stock Company rents office from Sao Thang Long Investment Joint Stock Company:
  - + (i) Rental area: 102.8 m<sup>2</sup>; (ii) Rental amount (VAT included): 5.5 million VND/ 1 month (iii) Rental period: 05 years.
  - + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.
- Smartinvest Securities Joint Stock Company rents office from Dong A Hotel Group Joint Stock Company:
  - + Rental area: 192 m<sup>2</sup>; (ii) Rental amount (VAT included): 77 million VND/ 1 month; (iii) Rental period: 05 years.
  - + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.
- Smartinvest Securities Joint Stock Company signed a service provision contract with Dong A Hotel Group Joint Stock Company:
  - + Contract Content: Customer conference organization service.
  - + Contract value (including VAT): 434,000,000 VND (in words: Four hundred thirty four million VND)
  - + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.

- Smartinvest Securities Joint Stock Company signed a contract to borrow the office of Sao Thang Long Investment Joint Stock Company.
- + Borrowed area: 102.8 m2; (ii) Borrowed period: 03 years
- + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.
- Smartinvest Securities Joint Stock Company signed a contract to borrow office space from Dong A Hotel Group Joint Stock Company.
- + Borrowed area: 192 m2; (ii) Borrowed period: 03 years
- + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan.
- Smartinvest Securities Joint Stock Company signed a contract for conference room rental service of Dong A Hotel Group Joint Stock Company.
- + Contract value (including VAT): 14,826,000 VND (in word: (in words: Fourteen million, eight hundred and twenty six thousand VND)
- + Related relationship: Transactions with related persons of Board member: Mr. Tran Minh Tuan

**4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO):** None

**4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers:** None

### **VIII. Share transactions of internal persons and their affiliated persons (Annual Report)**

1. The list of internal persons and their affiliated persons: According to attached Appendix 02
2. Transactions of internal persons and affiliated persons with shares of the Company: According to attached Appendix 04

**IX. Other significant issues:** None

**Recipients:**

- As above;
- Archived: office

**CHAIRWOMAN OF THE BOARD OF DIRECTORS**



Ngo Thi Thuy Linh

**APPENDIX 01: RELATED PERSONS OF THE COMPANY**

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1.	Mr. Le Manh Cuong		General Director and Member of Board of Directors			23/04/2021			Internal actor
2.	Ms. Ngo Thi Thuy Linh		Chairwoman of the board of directors			23/04/2021			Internal actor
3.	Mr. Tran Minh Tuan		Board Member			23/04/2021			Internal actor
4.	Ms. Do Thi Sam		Board Member			27/09/2021			Internal actor
5.	Mr. Pham Minh Duc		Board Member			27/09/2021			Internal actor
6.	Mr. Le Quang Chung		Deputy General Manager			22/02/2022			Internal actor
7.	Ms. Nguyen Thi Hue		Authorized person to disclose information			21/05/2024			Internal actor
8.	Ms. Le Thi Van Anh		Chief Accountant			01/7/2021			Internal actor

9.	Ms. Dao Thi Le Thanh		Board of Supervisors			28/03/2022			Internal actor
10.	Ms. Bui Thi Quynh Anh		Board of Supervisors			12/06/2023			Internal actor
11.	Ms. Trieu Tu Linh		The person in charge of company administration			29/11/2023			Internal actor
12.	Ms. Nguyen Thi Hong Trung		Chief Controller			13/06/2023			Internal actor
13.	Mr. Dao Phuong Vi		Deputy General Manager			01/02/2024			Internal actor
14.	Ms. Le Thi Hoa		Team Leader in charge of Internal Audit			6/12/2024			Internal actor

**APPENDIX 02: INTERNAL PERSONS AND RELATED PERSONS**

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company	Relationship with NNB /major shareholders (for related persons)	No.*, date of issue, place of issue	Address	Number of shares owned	Ownership ratio (%)
1	<b>Internal persons: Mr. Le Manh Cuong</b>		General Director and Member of Board of Directors				0	0
	Ms. Nguyen Thi My			Mother			0	0
	Mr. Ha Xuan Thieu			Father in law			0	0
	Lê Ngọc Diệp			Sister			0	0
	Ms. Le Kim Chi			Sister			0	0
	Ms. Le Thanh Tam			Sister			0	0
	Ms. Le Thanh Huong			Sister			0	0
	Mr. Dang Khac Tuyen			Brother in law			0	0
	Mr. Nguyen Ba Quyen			Brother in law			0	0
	Mr. Tran Anh Tuan			Brother in law			0	0
	Ms. Ha Thi Tuyet Trinh			Wife			0	0

	Ms. Le Ha Bao Linh			Daughter			0	0
	Ms. Le Ha Gia Han			Daughter			0	0
2	<b>Internal persons: Ngo Thi Thuy Linh</b>		Chairwoman of the Board				4.774,79 4	2,08%
	Mr. Tran Van Thai			Farther in law			0	0
	Mr.Nguyen Thi Doan Nghiem			Mother in law			0	0
	Ms. Ngo Thi Thuan			Sister			0	0
	Mr. Tran Minh Tuan		Board Member	Husband			0	0
	Ms. Tran Nha Khanh			Daughter			0	0
	Ms. Tran Nha Phuong			Daughter			0	0
	Mr. Tran Minh Phuc Philip			Son			0	0
	Mr. Tran Minh Duc David			Son			0	0

	Ms. Tran Nha Chi			Daughter			0	0
3	<b>Internal persons: Tran Minh Tuan</b>		Board Member				0	0
	Mr. Tran Van Thai			Father			0	0
	Ms. Nguyen Thi Doan Nghiem			Mother			0	0
	Ms. Ngo Thi Thuy Linh		Chairwoman of the Board	Wife			0	0
	Ms. Tran Nu Ngoc Anh			Sister			0	0
	Ms. Tran Nha Khanh			Daughter			0	0
	Ms. Tran Nha Phuong			Daughter			0	0
	Mr. Tran Minh Phuc Philip			Son			0	0
	Mr. Tran Minh Duc David			Son			0	0
	Ms. Tran Nha Chi			Daughter			0	0
	Dong A Hotel Group Joint Stock Company			Board Member			900.000	0,391%
	Sao Thang Long Investment Joint Stock Company			Board Member			0	0



	Petrovietnam Oil Thai Binh Joint Stock Company			Board Member			0	0
4	<b>Internal persons: Ms. Do Thi Sam</b>		Board Member				0	0
	Mr. Do Van Tuong			Father			0	0
	Ms. Le Thi Xuan			Mother			0	0
	Mr. Tran Khac Dien			Father in law			0	0
	Ms. Le Thi Tuyen			Mother in law			0	0
	Ms. Do Phuong Thao			Sister			0	0
	Mr. Do Ngoc Tan			Brother			0	0
	Ms. Le Thi Hong Nhung			Sister in law			0	0
	Mr. Tran Khac Hop			Husband			0	0
	Ms. Tran Minh Khue			Daughter			0	0
	Ms. Tran Minh Ha			Daughter			0	0
5	<b>Internal persons: Pham Minh Duc</b>		Board Member				0	0
	Mr. Pham Van Lap			Father			0	0
	Ms. Vu Thi Tuyet Minh			Mother			0	0
	Ms. Pham Phuong Dieu			Sister			0	0
	Mr. Ho Anh Dung			Brother in law			0	0

	Ms. Nguyen Ngoc Tu			Wife			0	0
	Mr. Nguyen Van Huan			Father in law			0	0
	Ms. Trinh Thi Nhungh			Mother in law			0	0
	Ms. Pham Song Linh			Daughter			0	0
	Mr. Pham Uy Long			Son			0	0
	Galaxy Law Firm			Director			0	0
6	<b>Internal persons: Mr. Le Quang Chung</b>		Deputy General Manager				0	0
	Mr. Le Xuan Nam			Father			0	0
	Ms. Bui Thi Min			Mother			0	0
	Mr. Nguyen Van Doan			Brother in law			0	0
	Ms. Le Thi Hang			Sister			0	0
	Mr. Pham Manh Kha			Brother in law			0	0
	Ms. Le Thi Hien			Sister			0	0
	Mr. Nguyen Van Khien			Brother in law			0	0
	Ms. Le Thi Hoa			Sister			0	0
	Mr. Nguyen Trung Phuong			Brother in law			0	0
	Ms. Le Thi Hieu			Sister			0	0
	Mr. Nguyen Thanh Huy			Brother in law			0	0
	Ms. Le Thi Thao			Sister			0	0

	Mr. Vu Van Man			Father in law			0	0
	Ms. Vu Thi Thanh Hoa			Mother in law			0	0
	Ms. Le Vu Thanh Thuy			Daughter			0	0
	Mr. Le Hai Long			Son			0	0
	Mr. Le Hai Quang			Son			0	0
7	<b>Internal persons: Ms. Nguyen Thi Hong Trung</b>		Chief Controller				0	0
	Ms. Ha Thi Thuy			Mother			0	0
	Mr. Nguyen Ky			Father in law				
	Ms. Tran Thi Nga			Mother in law			0	0
	Ms. Nguyen Thi Hong Thuan			Sister				
	Mr. Nguyen Huu Duong			Brother in law			0	0
	Ms. Nguyen Thi My Dung			Sister				
	Mr. Nguyen Huu Tuan			Brother in law			0	0
	Mr. Nguyen Quang Minh			Husband				
	Ms. Nguyen Minh Thu			Daughter			0	0

	Mr. Nguyen Minh Vu			Son			0	0
8	<b>Internal persons: Bui Thi Quynh Anh</b>		Controller				0	0
	Mr. Bui Van Long			Father			0	0
	Ms. Đang Thuy An			Mother			0	0
	Ms. Bui Thi To Oanh			Sister			0	0
	Ms. Bui Thi Hong Nga			Sister			0	0
	Ms. Bui Thi Thuy			Sister			0	0
	Mr. Bui Tien Dat			Brother			0	0
	Mr. Nguyen Van An			Father in law			0	0
	Ms. Nguyen Thi Hoa			Mother in law			0	0
	Mr. Nguyen Van Sinh			Husband			0	0
	Ms. Nguyen Bui Phuong Linh			Daughter			0	0
	Ms. Nguyen Bui Quynh Chi			Daughter			0	0
9	<b>Internal persons: Dao Thi Le Thanh</b>		Controller				0	0

	Mr. Dao Xuan Sy			Father			0	0
	Ms. Le Thi Nhon			Mother			0	0
	Mr. Dao Ba Thien Binh			Brother			0	0
	Ms. Tran Thi Thanh Thuy			Sister-in-law			0	0
	Ms. Nguyen Thi Tanh			Mother in law			0	0
	Mr. Pham Huy Duc			Husband			0	0
	Mr. Pham Duc Huy			Son			0	0
	Dong A Hotel Group Joint Stock Company			Controller			900.000	0,391%
10	<b>Internal persons: Trieu Tu Linh</b>		<b>The person in charge of company administration</b>				<b>0</b>	<b>0</b>
	Mr. Trieu Tu Nam			Father			0	0
	Ms. Nguyen Thanh Huyen			Mother			0	0
	Mr. Trieu Gia Hung			Brother			0	0

	Mr. Tran Thai Duong			Husband			0	0
	Ms. Tran Tue San			Daughter			0	0
	Mr. Tran Quang Dung			Father in law			0	0
	Ms. Nguyen Thi Lan			Mother in law			0	0
	Dong A Hotel Group Joint Stock Company			Controller			900.000	0,391%
11	<b>Internal persons: Ms. Le Thi Van Anh</b>		<b>Chief accountant</b>				<b>0</b>	<b>0</b>
	Mr. Le Van Tien			Father			0	0
	Ms. Mai Thi Van			Mother			0	0
	Mr. Ngo Khac Hung			Husband			0	0
	Ms. Ngo Huyen Trang			Daughter			0	0
	Mr. Ngo Kien Cuong			Son			0	0
	Mr. Le Xuan Bach			Brother			0	0
	Ms. Pham Thi Tham			Sister in law			0	0

12	<b>Internal persons:</b> <b>Nguyen Thi Hue</b>		<b>The person authorized to disclose information</b>				<b>0</b>	<b>0</b>
	Ms. Nguyen Thi Da			Mother			0	0
	Mr. Nguyen Huy Thu			Father				
	Mr. Nguyen Van Thanh			Father in law			0	0
	Ms. Vu Thi Thu			Mother in law			0	0
	Mr. Nguyen Van Dong			Husband			0	0
	Ms. Nguyen Hoang Diep Chi			Daughter			0	0
	Ms. Nguyen Thi Thuy			Sister			0	0
	Mr. Nguyen Nghia Dong			Brother in law			0	0
	Ms. Nguyen Thi Thuy			Sister			0	0
	Mr. Nguyen Huy Thang			Brother in law			0	0
	Ms. Nguyen Thi Trang			Sister			0	0
	Mr. Luu The Hien			Brother in law			0	0

	Ms. Nguyen Thi Hanh			Sister			0	0
	Sao Thang Long Investment Joint Stock Company			Controller			0	0
	ANN Holding Group Joint Stock Company			Legal representative			0	0
13	<b>Internal persons: Mr.  Dao Phuong Vi</b>		<b>Deputy General Manager</b>				<b>0</b>	<b>0</b>
	Mr. Dao Quang Hung			Father			0	0
	Ms. Le Thi Ly			Mother			0	0
	Ms. Vu Minh Phuong			Wife			0	0
	Mr. Dao Quang Ngoc			Brother			0	0
	Mr. Dao Xuan Huong			Brother			0	0
	Mr. Dao Van Khanh			Brother			0	0
	Ms. Dao Thao Vi			Daughter				0
	Mr. Dao Vu			Son				0

	Ms. Dao Tue Nhu			Daughter				0
14	<b>Internal persons: Le Thi Hoa</b>		<b>Team leader of internal audit boards</b>				<b>0</b>	<b>0</b>
	Mr. Le Van My			Father			0	0
	Ms. Bui Thi Loan			Mother			0	0
	Ms. Le Thi Thuan			Sister			0	0
	Mr. Le Sinh Hung			Brother			0	0
	Mr. Hoang Van Tuan			Brother in law			0	0

### APPENDIX 03: CONTENT OF THE ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025

No.	Resolution/Decision Number	Date	Content
1	01/2025/AAS/NQ-DHĐCD	24/03/2025	<ul style="list-style-type: none"> <li>- Approval of the Board of Directors performance report in 2024;</li> <li>- Approval of the Board of Supervisors performance report in 2024;</li> <li>- Approval of Audited Financial Statements for 2024;</li> <li>- Approval of business plan in 2025;</li> <li>- Approval of the selection of an independent auditor for the 2025 fiscal year;</li> <li>- Approval of profit distribution in 2024;</li> <li>- Approval of remuneration of the Board of Directors and the Board of Supervisors;</li> <li>- Approval of issues to be reported to the General Meeting of Shareholders includes: (i) Regarding the transition to trading AAS shares; (ii) Regarding the addition of derivative securities trading services; (iii) Regarding the temporary suspension of stock issuance for 2023 dividend payment; (iv) Regarding the continuation and amendment of the public share issuance plan; (v) Regarding the termination of the establishment of representative offices in Japan, Canada, and Taiwan; (vi) Regarding the termination of the capital contribution to establish Dong Tien Fund Management Joint Stock Company.</li> </ul>

**APPENDIX 04: TRANSACTIONS OF INTERNAL PERSONS AND AFFILIATED PERSONS WITH SHARES OF THE COMPANY**

No.	<i>Transaction executor</i>	<i>Relationship with internal persons</i>	<i>Number of shares owned at the beginning of the period</i>		<i>Number of shares owned at the end of the period</i>		<i>Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)</i>
			<i>Number of shares</i>	<i>Percentage</i>	<i>Number of shares</i>	<i>Percentage</i>	
1	Dong A Hotel Group Joint Stock Company	<ul style="list-style-type: none"> <li>- Mr. Tran Minh Tuan is concurrently a member of the Board of Directors;</li> <li>- Ms. Dao Thi Le Thanh is concurrently a member of the Supervisory Board;</li> <li>- Ms. Trieu Tu Linh is concurrently a member of the Supervisory Board of Dong A Hotel Group JSC and the Corporate Governance Officer of SmartInvest Securities JSC.</li> </ul>	0	0%	900.000	0,391%	Buy
2	Sao Thang Long Investment Joint Stock Company	<ul style="list-style-type: none"> <li>- Mr. Tran Minh Tuan is also a member of the Board of Directors.</li> </ul>	0	0%	263.000	0,816%	Buy
3			263.000	0,816%	0	0%	Sell

